# An Encoding of (Co)inductive Types in Coq 

 via W - and M -types in the category of setoidsGalaad Langlois ${ }^{1}$, Damien Pous ${ }^{2}$, Yannick Zakowski ${ }^{3}$

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Current state: an experiment
(1) Some limits of (co) inductive types in Coq
(2) (Co)inductive types, categorically
(3) Polynomial functors

4 Coq implementation: use of setoids

## Equality for coinductive types

```
CoInductive stream := cons { hd : nat; tl : stream }.
CoFixpoint zeros := cons O zeros.
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CoInductive stream := cons { hd : nat; tl : stream }.
CoFixpoint zeros := cons O zeros.
CoFixpoint zeros' := cons 0 (cons 0 zeros').
- impossible to prove zeros = zeros'
- need to define a bisimilarity relation by hand
```

```
CoInductive EqSt (s1 s2 : stream) : Prop := eqst {
    eqst_hd : hd s1 = hd s2;
    eqst_tl : EqSt (tl s1) (tl s2);
}.
```


## Compositionnality

```
Inductive tree (A : Type) : Type :=
    | node (label : A) (children : list (tree A)).
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- the automatically generated induction principle is useless
- impossible to abstract over list

Context (F : Type -> Type)
Context (Fmap : $\forall$ (X Y : Type), (X -> Y) -> F X $\rightarrow$ F Y).
Fail Inductive tree (A : Type) : Type :=
| node (label : A) (children : F (tree A)).

The guard condition

- fixpoints and cofixpoints are accepted by Coq only if they respect a syntactic guard condition
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- example: shuffle product on streams

Fail CoFixpoint shuffle (s s' : stream) : stream := shuffle (tl s) s' + shuffle s (tl s').

## Inspiration from other proof assistants

- Isabelle/HOL: AmiCo library [Blanchette et al., 2017] using bounded natural functors (BNF)


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- these frameworks require quotient types, propositional and functional extensionality
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- these frameworks require quotient types, propositional and functional extensionality
- goal: a similar framework, in Coq, axiom-free
(1) Some limits of (co)inductive types in Coq
(2) (Co)inductive types, categorically
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## Functors of types

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\text { Fmap : } \forall \mathrm{X} \text { Y, (X -> Y) }->(\mathrm{F} \text { X } \rightarrow \mathrm{F} \text { Y) }
$$

Example:

$$
F=\text { list } \quad F^{\text {map }}=\text { List } . \text { map }
$$

## Constructors as algebras

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$$
F(X)=\mathbf{1}+X \quad \mathbf{1}+\text { nat } \xrightarrow{[0, \text { succ }]} \text { nat }
$$

## Definition (Initial $F$-algebra)

An initial $F$-algebra is an algebra $a: F(X) \rightarrow X$ such that for all algebra $b: F(Y) \rightarrow Y$, there exists a unique function $f: X \rightarrow Y$ such that the following diagram commutes:

$$
\begin{gathered}
F(X) \xrightarrow{F^{\text {map }}(f)} F(Y) \\
a \\
\vdots \\
X
\end{gathered}
$$

## Recursion via initiality

```
Fixpoint iseven (n : nat) : bool :=
match n with
    | 0 => true
    | S n => negb (iseven n)
    end.
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$$
\left\{\begin{array}{l}
\operatorname{iseven}(0)=\operatorname{true} \\
\operatorname{iseven}(\operatorname{succ}(n))=\operatorname{negb}(\operatorname{iseven}(n))
\end{array}\right.
$$

## Destructors as coalgebras

A coinductive type is the "greatest type" closed under some destructors (e.g., hd and tl for the type stream).

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## Definition ( $F$-coalgebra)

An $F$-coalgebra is a pair $(X, c)$ where $X$ is a type and $c: X \rightarrow F(X)$.

$$
F(X)=A \times X \quad \text { stream }_{A} \xrightarrow{(\mathrm{hd}, \mathrm{tl})} A \times \text { stream }_{A}
$$

## Definition (Final $F$-coalgebra)

A final $F$-coalgebra is a coalgebra $c: Z \rightarrow F(Z)$ such that for all coalgebra $d: X \rightarrow F(X)$, there exists a unique function $f: X \rightarrow Z$ such that the following diagram commutes:

## Corecursion via finality

CoFixpoint add (s s' : stream) : stream := (hd $\left.s+h d s^{\prime}\right)::($ add (tl s) (tl s')).

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CoFixpoint add (s s' : stream) : stream := (hd $\left.s+h d s^{\prime}\right)::\left(\operatorname{add}(t l \mathrm{~s})\left(\mathrm{tl} \mathrm{s}^{\prime}\right)\right)$.

stream $\downarrow(\mathrm{hd}, \mathrm{tl})$<br>nat $\times$ stream

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CoFixpoint add (s s' : stream) : stream :=
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$\downarrow$ (hd,tl)
nat $\times$ stream

## Corecursion via finality

```
    CoFixpoint add (s s' : stream) : stream :=
        (hd s + hd s') ::: (add (tl s) (tl s')).
            stream }\times\mathrm{ stream --------------> stream
\lambdas s'.(hd(s)+hd(s'),tl(s),tl(\mp@subsup{s}{}{\prime}))\downarrow
|(hd,tl)
    nat }\times\mathrm{ stream
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    CoFixpoint add (s s' : stream) : stream :=
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|(hd,tl)
    nat }\times\mathrm{ stream
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## Corecursion via finality

$$
\begin{aligned}
& \text { CoFixpoint add (s s' : stream) : stream := } \\
& \text { (hd } \left.s+h d s^{\prime}\right)::(\text { add (tl s) (tl s')). } \\
& \text { stream } \times \text { stream ------- add } \\
& \begin{aligned}
\lambda s s^{\prime} .\left(\mathrm{hd}(s)+\mathrm{hd}\left(s^{\prime}\right), \mathrm{tl}(s), \mathrm{tl}\left(s^{\prime}\right)\right) \downarrow \\
\text { nat } \times \text { stream } \times \text { stream }- \text { id } \times \text { add } \text { nat } \times \text { stream }
\end{aligned} \\
& \left\{\begin{array}{l}
\operatorname{hd}\left(\operatorname{add}\left(s, s^{\prime}\right)\right)=\operatorname{hd}(s)+\operatorname{hd}\left(s^{\prime}\right) \\
\operatorname{tl}\left(\operatorname{add}\left(s, s^{\prime}\right)\right)=\operatorname{add}\left(\operatorname{tl}(s), \operatorname{tl}\left(s^{\prime}\right)\right)
\end{array}\right.
\end{aligned}
$$

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- btree $_{A}=\mu X . A+X^{2} \quad$ binary trees with leaves labelled in $A$

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potentially infinite lists

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Coinductive types $=$ final coalgebras $(\nu)$ :

- stream $_{A}=\nu X . A \times X$
$-\operatorname{colist}_{A}=\nu X .1+A \times X$
- fbtree $_{A}=\nu X . A \times \operatorname{list}(X)$
potentially infinite lists finitely branching trees
(1) Some limits of (co)inductive types in Coq
(2) (Co)inductive types, categorically
(3) Polynomial functors

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## Polynomial functors

Not all functors have an initial algebra/final coalgebra.

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$$
P, Q::=\operatorname{id}\left|\operatorname{cst}_{s}\right| P+Q|P \times Q| P^{S}
$$

## Definitions

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## Examples

$A:$ Type
$B: A \rightarrow$ Type

$$
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## Examples

$$
\begin{array}{cc}
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A: \text { Type } \\
B: A \rightarrow \text { Type }
\end{array} & P(X)=\sum_{\mathrm{a}: A} X^{B(a)} \\
P(X)=X \times X & (\mathbf{1} \triangleright \star \mapsto \mathbf{2}) \\
\hline
\end{array}
$$

## Examples

$$
\begin{gathered}
\begin{array}{c}
A: \text { Type } \\
B: A \rightarrow \text { Type }
\end{array} \quad P(X)=\sum_{a: A} X^{B(a)} \\
\begin{array}{|l|l}
\hline P(X)=X \times X & (\mathbf{1} \triangleright \star \mapsto \mathbf{2}) \\
\hline P(X)=\text { option }(X) \simeq \mathbf{1}+X & \left(\mathbf{2 \triangleright} \begin{array}{r}
\text { true } \mapsto \mathbf{0} \\
\text { false } \mapsto \mathbf{1}
\end{array}\right) \\
\hline
\end{array}
\end{gathered}
$$

| $\begin{gathered} A: \text { Type } \\ B: A \rightarrow \text { Type } \end{gathered}$ | $P(X)=\sum_{a: A} X^{B(a)}$ |
| :---: | :---: |
| $P(X)=X \times X$ | $(1 \triangleright \star \mapsto 2)$ |
| $P(X)=\operatorname{option}(X) \simeq 1+X$ | $\left(\begin{array}{cc}\text { 2 } & \text { true } \mapsto \mathbf{0} \\ & \text { false } \mapsto \mathbf{1}\end{array}\right)$ |
| $P(X)=\operatorname{list}(X) \simeq \sum_{n: \text { nat }} X^{n}$ | (nat $\triangleright n \mapsto \mathbf{n}$ ) |

## Closure properties

Polynomial functors are closed under:

- sum
- product
- composition
- ( $\mu$ and $\nu$ in the multivariate case)
which means providing the corresponding constructions on containers and establishing the associated functor equivalences

W-types and M-types

```
Context (A : Type) (B : A -> Type).
Inductive W : Type :=
| sup (a : A) (f : B a -> W) : W.
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- well-founded trees: $W=\mu X . P(X)$
- non-well-founded trees: $M=\nu X . P(X)$
"one (co)inductive to rule them all"


## (1) Some limits of (co)inductive types in Coq

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## Extensionality problems

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- goal: axiom-free implementation
- functional extensionality is necessary for the proof that W-types carry a structure of initial algebras
- quotient types are necessary to define coinductive types with the appropriate notion of equality, namely bisimilarity
- these are extensional concepts, while Coq is based on an intensional type theory
- solution : setoids [Hofmann 1995]

Types $\rightarrow-$ Setoids

## Definition (Setoid)

A setoid is a pair $(X, \equiv x)$ where $X$ is a type and $\equiv x$ is an equivalence relation on $X$.

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## Functions $\rightarrow$ Extensional functions

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An extensional function between two setoids $(X, \equiv X)$ and $(Y, \equiv Y)$ is a function $f: X \rightarrow Y$ such that if $x \equiv x x^{\prime}$ then $f(x) \equiv_{Y} f\left(x^{\prime}\right)$.

$$
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$$
012+\times \sqrt{ }
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$$
012+\times \sqrt{ } \quad A \rightarrow \text { Type } \sum \prod ?
$$

## Setoid families

- what is a setoid family on $A$ ?
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- proof-irrelevant setoid families [Palmgren 2012]
- what is a setoid family on $A$ ?
- $B: A \rightarrow$ Setoid
- $a \equiv a^{\prime} \Longrightarrow B(a) \approx B\left(a^{\prime}\right)$
- proof-irrelevant setoid families [Palmgren 2012]
- transport function along an equivalence $p: a \equiv a^{\prime}$, $p_{*}: B(a) \rightarrow B\left(a^{\prime}\right)$, isomorphism $B(a) \cong B\left(a^{\prime}\right)$


Structure container := \{
A : Setoid;
B : setoid_family A \}.

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Structure PFUNCTOR := \{
pf_func :> FUNCTOR SETOIDS SETOIDS;
pf_cont : container;
pfE : pf_func $\simeq$ extension pf_cont \}.

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- finality of the coalgebra of M-setoids: easier
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- initiality of the algebra of W-setoids: very challenging, already done in Coq [Palmgren 2015]
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(implemented in the code)


## In practice (1)

1. Define a PFUNCTOR using the provided DSL. Definition P := nat * X.

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Definition P := nat * X .
2. Define the desired (co)inductive setoid as the W -setoid associated to the functor.

```
Definition stream_coalg := nu_coalg P.
Definition stream := coalg_car stream_coalg.
Definition final_stream_coalg : final stream_coalg :=
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```


## In practice (1)

1. Define a PFUNCTOR using the provided DSL.

Definition $\mathrm{P}:=$ nat $* \mathrm{X}$.
2. Define the desired (co)inductive setoid as the $W$-setoid associated to the functor.

```
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Definition stream := coalg_car stream_coalg.
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```

2'. Use your own type, enriched with an equivalence relation, a (co)algebra structure and a proof that it is an initial/final (co)algebra of the functor.

## In practice (2)

3. The (Co)Lambek lemma provides a constructor and a destructor.

Definition iso_fix : stream $\simeq$ nat * stream := CoLambek final_stream_coalg.

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Definition stream_corec (X : Setoid) (c : X > nat * X)
    : X .
    corec final_stream_coalg c.
Definition add : stream * stream | stream :=
    stream_corec (stream * stream)
    (fun s s' => (hd s + hd s', (tl s, tl s'))).
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5. A (co)induction principle is provided for proofs.

- automation and syntactic sugar
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- nested and mixed inductive-coinductive types $\rightarrow$ multivariate polynomial functors
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- automation and syntactic sugar
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- quotients of polynomial functors
- more powerful (co)recursion principle $\rightarrow$ up-to techniques

