An Encoding of (Co)inductive Types in Coq via W- and M-types in the category of setoids

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Goal: a library to easily define and work with datatypes such as 1. μX . $\mathbf{1} + A \times X^2$ 2. νX . $A \times X^2$

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- 2. $\nu X. A \times X^2$
- 3. $\mu X. A \times \mathcal{F}(X)$

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Introduction

Goal: a library to easily define and work with datatypes such as

μX. 1 + A × X²
 νX. A × X²
 μX. A × F(X)
 νX. μY. X + Y

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Ingredients:

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- **3**. $\mu X. A \times \mathcal{F}(X)$
- **4**. $\nu X. \mu Y. X + Y$

Ingredients:

 category theory can give constructors, pattern-matching, recursion principles, induction principles

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- setoids: a necessity for an axiom-free Coq implementation

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Current state: an experiment

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1 Some limits of (co)inductive types in Coq

- (Co)inductive types, categorically
- 3 Polynomial functors
- ④ Coq implementation: use of setoids

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CoInductive stream := cons { hd : nat; tl : stream }.

CoFixpoint zeros := cons 0 zeros. CoFixpoint zeros' := cons 0 (cons 0 zeros').

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CoInductive stream := cons { hd : nat; tl : stream }.
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CoFixpoint zeros := cons 0 zeros.
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```

```
    impossible to prove zeros = zeros'
    need to define a bisimilarity relation by hand
    CoInductive EqSt (s1 s2 : stream) : Prop := eqst {
        eqst_hd : hd s1 = hd s2;
        eqst_tl : EqSt (tl s1) (tl s2);
    }.
```

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Inductive tree (A : Type) : Type :=
 | node (label : A) (children : list (tree A)).

the automatically generated induction principle is useless

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- the automatically generated induction principle is useless
- impossible to abstract over list

```
Context (F : Type → Type)
Context (Fmap : ∀ (X Y : Type), (X → Y) → F X → F Y).
```

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- this condition prevents from defining some corecursive functions that are perfectly justified mathematically
- example: shuffle product on streams

Fail CoFixpoint shuffle (s s' : stream) : stream := shuffle (tl s) s' + shuffle s (tl s').

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 Isabelle/HOL: AmiCo library [Blanchette et al., 2017] using bounded natural functors (BNF)

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- Isabelle/HOL: AmiCo library [Blanchette et al., 2017] using bounded natural functors (BNF)
- Lean: quotients of polynomial functors [Avigad et al., 2019]
- these frameworks require quotient types, propositional and functional extensionality
- goal: a similar framework, in Coq, axiom-free

Some limits of (co)inductive types in Coq

(Co)inductive types, categorically

3 Polynomial functors

4 Coq implementation: use of setoids

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Definition (Functor)

A functor is defined by its action F on types:

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F : Type -> Type
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A functor is defined by its action F on types:

F : Type -> Type

and its action F^{map} on functions:

Fmap : $\forall X Y$, $(X \rightarrow Y) \rightarrow (F X \rightarrow F Y)$

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Example:

$$F = list$$
 $F^{map} = List.map$

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An inductive type is the "least type" closed under some *constructors* (e.g., 0 and succ for the type nat).

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Definition (*F*-algebra)

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An *F*-algebra is a pair (X, a) where X is a type and $a : F(X) \to X$.

$$F(X) = \mathbf{1} + X$$
 $\mathbf{1} + \operatorname{nat} \xrightarrow{[0,\operatorname{succ}]} \operatorname{nat}$

Definition (Initial F-algebra)

An *initial F-algebra* is an algebra $a: F(X) \to X$ such that for all algebra $b: F(Y) \to Y$, there exists a unique function $f: X \to Y$ such that the following diagram commutes:

$$F(X) \xrightarrow{F^{map}(f)} F(Y)$$

$$\downarrow b$$

$$X \xrightarrow{f} Y$$

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```
Fixpoint iseven (n : nat) : bool :=
match n with
| 0 => true
| S n => negb (iseven n)
end.
```

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```
1 + \mathsf{nat}
[0,succ]
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$$1 + \text{nat} \xrightarrow{\text{id+iseven}} 1 + 2$$

$$[0, \text{succ}] \downarrow \qquad \qquad \downarrow [\text{true}, \text{negb}]$$

$$\text{nat} \xrightarrow{\text{iseven}} 2$$

$$\begin{cases} \text{iseven}(0) = \text{true} \\ \text{iseven}(\text{succ}(n)) = \text{negb}(\text{iseven}(n)) \end{cases}$$
A coinductive type is the "greatest type" closed under some *destructors* (e.g., hd and tl for the type stream).

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An *F*-coalgebra is a pair (X, c) where X is a type and $c : X \to F(X)$.

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$$F(X) = A \times X$$
 stream_A $\xrightarrow{\text{(hd,tl)}} A \times \text{stream}_A$

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Definition (Final F-coalgebra)

A final *F*-coalgebra is a coalgebra $c : Z \to F(Z)$ such that for all coalgebra $d : X \to F(X)$, there exists a unique function $f : X \to Z$ such that the following diagram commutes:

$$\begin{array}{c} X \xrightarrow{f} Z \\ d \downarrow \qquad \qquad \downarrow^c \\ F(X) \xrightarrow{F(f)} F(Z) \end{array}$$

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CoFixpoint add (s s' : stream) : stream := (hd s + hd s') ::: (add (tl s) (tl s')).

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 \begin{array}{c} \text{CoFixpoint add (s s' : stream) : stream :=} \\ (\text{hd s + hd s') ::: (add (tl s) (tl s')).} \\ & \text{stream} \times \textit{stream} \xrightarrow{\text{add}} \text{------stream} \\ \lambda \text{ s s'. (hd(s)+hd(s'),tl(s),tl(s'))} & & & & & \\ & \text{nat} \times \text{stream} \times \text{stream} & & \text{nat} \times \text{stream} \end{array}
```

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Inductive types = initial algebras (μ) :

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Inductive types = initial algebras (μ) :

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$$\blacktriangleright \text{ list}_{A} = \mu X. \ \mathbf{1} + A \times X$$

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▶ nat =
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▶ btree_A = μX . A + X² binary trees with leaves labelled in A

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• colist_A = νX . **1** + A × X potentially infinite lists

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Inductive types = initial algebras (μ) :

$$\blacktriangleright \text{ colist}_{A} = \nu X. \mathbf{1} + A \times X$$

• fbtree_A = νX . A × list(X)

potentially infinite lists finitely branching trees

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1 Some limits of (co)inductive types in Coq

(Co)inductive types, categorically



4 Coq implementation: use of setoids

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Not all functors have an initial algebra/final coalgebra.

▶ in Coq: strict positivity condition

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- in Coq: strict positivity condition
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$$P, Q ::= \mathsf{id} \mid \mathsf{cst}_S \mid P + Q \mid P \times Q \mid P^S$$

Definition (Container)

A container is a pair noted $(A \triangleright B)$ where A: Type and $B: A \rightarrow Type$.

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A polynomial functor is, up to equivalence, a functor of the form:

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Polynomial functors are closed under:

- sum
- product
- composition
- (μ and ν in the multivariate case)

which means providing the corresponding constructions on containers and establishing the associated functor equivalences

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```
Context (A : Type) (B : A -> Type).
Inductive W : Type :=
| sup (a : A) (f : B a -> W) : W.
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• well-founded trees: $W = \mu X$. P(X)

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"one (co)inductive to rule them all"

Some limits of (co)inductive types in Coq

- (Co)inductive types, categorically
- 3 Polynomial functors
- 4 Coq implementation: use of setoids

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- goal: axiom-free implementation
- functional extensionality is necessary for the proof that W-types carry a structure of initial algebras

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- goal: axiom-free implementation
- functional extensionality is necessary for the proof that W-types carry a structure of initial algebras
- quotient types are necessary to define coinductive types with the appropriate notion of equality, namely bisimilarity
- these are *extensional* concepts, while Coq is based on an *intensional* type theory
- solution : setoids [Hofmann 1995]

Definition (Setoid)

A setoid is a pair (X, \equiv_X) where X is a type and \equiv_X is an equivalence relation on X.

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Functions --- Extensional functions

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An extensional function between two setoids (X, \equiv_X) and (Y, \equiv_Y) is a function $f : X \to Y$ such that if $x \equiv_X x'$ then $f(x) \equiv_Y f(x')$.

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$$\boxed{012 + \times} \checkmark \qquad A \rightarrow \mathsf{Type} \sum \Pi \boxed{}$$

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what is a setoid family on A?

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- what is a setoid family on A?
- $\blacktriangleright B: A \rightarrow \mathsf{Setoid}$

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$$\blacktriangleright a \equiv a' \implies B(a) \approx B(a')$$

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proof-irrelevant setoid families [Palmgren 2012]

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- proof-irrelevant setoid families [Palmgren 2012]
- ▶ *transport* function along an equivalence $p : a \equiv a'$, $p_* : B(a) \rightarrow B(a')$, isomorphism $B(a) \cong B(a')$

$$a \xrightarrow{p} a' \downarrow \downarrow B(a) \xrightarrow{p_*} B(a')$$

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Structure container := {

- A : Setoid;
- B : setoid_family A }.

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```
Structure container := {
  A : Setoid;
  B : setoid_family A }.
Structure PFUNCTOR := {
  pf_func :> FUNCTOR SETOIDS SETOIDS;
  pf_cont : container;
  pfE : pf_func ~ extension pf_cont }.
```

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counterparts of W- and M-types in the category of setoids

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- W- and M-types enriched with an equivalence relation
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- initiality of the algebra of W-setoids: very challenging, already done in Coq [Palmgren 2015]
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(implemented in the code)

1. Define a PFUNCTOR using the provided DSL.

```
Definition P := nat * X.
```

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```
Definition P := nat * X.
```

2. Define the desired (co)inductive setoid as the W-setoid associated to the functor.

```
Definition stream_coalg := nu_coalg P.
Definition stream := coalg_car stream_coalg.
Definition final_stream_coalg : final stream_coalg :=
  final_nu P.
```

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```
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Definition stream := coalg_car stream_coalg.
Definition final_stream_coalg : final stream_coalg :=
  final_nu P.
```

2'. Use your own type, enriched with an equivalence relation, a (co)algebra structure and a proof that it is an initial/final (co)algebra of the functor.

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In practice (2)

3. The (Co)Lambek lemma provides a constructor and a destructor.

```
Definition iso_fix : stream \simeq nat * stream := CoLambek final_stream_coalg.
```

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```
Definition iso_fix : stream \simeq nat * stream := CoLambek final_stream_coalg.
```

4. The initiality/finality property provides a (co)recursor to define (co)recursive functions.

```
Definition stream_corec (X : Setoid) (c : X → nat * X)
    : X → stream :=
    corec final_stream_coalg c.
```

```
Definition add : stream * stream → stream :=
  stream_corec (stream * stream)
   (fun s s' => (hd s + hd s', (tl s, tl s'))).
```

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3. The (Co)Lambek lemma provides a constructor and a destructor.

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```

5. A (co)induction principle is provided for proofs.

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